

Investment Law and Regulatory Requirements

An investment that pays off: our expertise

GÖRG ranks among Germany's leading independent business law firms and can look back upon many years of experience as a source of advice for national and international clients. The members of our investment practice group have the personal and professional qualities and qualifications it takes to cover all aspects of capital investment law.

Good contacts – worldwide

Our clients include investment management companies, asset managers, German and foreign financial institutions, state banks, financial services providers, issuing houses, insurance companies, public corporations and medium-sized companies as well as major international corporations and financial investors, fintechs and start-ups.

The complexity of investment regulations and regulatory policies makes in-depth familiarity with the corresponding legislation an essential prerequisite

Advice in connection with capital investment regulations is one of our core competencies. You can count on us for any advice and support you need in this area. The following are only a few of many examples:

- Legal and tax design as well as ongoing advice on the management of investment funds
- Dealings with supervisory authorities in connection with approvals and filings
- Creation of investment funds in the form of UCITS funds or AIF funds as well as investment stock corporations or partnerships
- Preparation and ongoing revision of mandatory filings for investment funds (draft of prospectuses/offering memoranda, general and special investment fund terms and conditions, key investor information documents (KIID))

- Negotiation and review of agreements with the custodian and service providers
- Negotiation and review of outsourcing agreements of investment management companies, e.g. with asset managers
- Negotiation and review of distribution agreements, disclosure of cross-border distribution (passporting of funds in the EU and EEA), review of advertising materials and publications
- Legal advice in connection with transfers of funds, change of custodians and/or investment management companies as well as fund mergers

Partnering with law firms in other jurisdictions, GÖRG can also launch funds in other countries. In the case of international marketing activities, we can also coordinate activities with independent law firms in other countries with which we regularly collaborate.

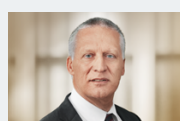
Local presence: your points of contact



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